

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under  
37 C.F.R. 1.53(b)

|   |                |
|---|----------------|
| Attorney Docket No.                               | 1036.200 06    |
| First Named Inventor or<br>Application Identifier | Arnold Glazier |
| Express Mail Label No.                            | EL552288285US  |

Title of  
Invention

SELECTIVE CELLULAR TARGETING: MULTIFUNCTIONAL DELIVERY VEHICLES,  
MULTIFUNCTIONAL PRODRUGS, USE AS ANTINEOPLASTIC DRUGS

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- |   |  |
|---|--|
| <p>1. <input type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages [ 947 ] ]<br/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Summary of the Invention</li> <li>- Brief Description of the Drawings</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br/>[ ] Pages</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> |
|---|--|

3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets [ ] ]  
☐ Formal ☐ Informal  
☐ Fig. of the Drawings for Publication [ ]

4. ☐ Oath or Declaration/POA [Total Pages [ ] ]
- a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[NOTE Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).

- ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

### ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee -  
Drug Innovation & Design, Inc.
9. ☐ 37 C.F.R. 3.73(b) Statement [ ] Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application, status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request (check parent application)
17. ☐ Other: \_\_\_\_\_


18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/712,465

Prior application information: Examiner: Not Assigned Group Art Unit: Not Assigned

### 19. CORRESPONDENCE ADDRESS

|         |   |           |                |          |                |
|---------|---|-----------|----------------|----------|----------------|
| NAME    | N. Scott Pierce, Esq.                   |           |                |          |                |
|         | HAMILTON, BROOK, SMITH & REYNOLDS, P.C. |           |                |          |                |
| ADDRESS | Two Militia Drive                       |           |                |          |                |
| CITY    | Lexington                               | STATE     | MA             | ZIP CODE | 02421-4799     |
| COUNTRY | USA                                     | TELEPHONE | (781) 861-6240 | FAX      | (781) 861-9540 |

|                                       |   |             |                   |
|---------------------------------------|---|-------------|-------------------|
| Signature                             |  | Date        | December 15, 2000 |
| Submitted by<br>Typed or Printed Name | Steven G. Davis, Esq.   | Reg. Number | 39,652            |

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Date: December 15, 2000 Express Mail Label No. EL552288285US

Inventor(s): Arnold Glazier

Attorney's Docket No.: 1036.2001-006

Selective Cellular Targeting: Multifunctional Delivery Vehicles, Multifunctional  
Prodrugs, Use as Antineoplastic Drugs

#### RELATED APPLICATION(S)

- This application is a Continuation of U.S. Application Serial No. 09/712,465,  
5 filed November 15, 2000, which claims the benefit of U.S. Provisional Application  
Serial No. 60/165,485 filed on November 15, 1999, U.S. Provisional Application  
Serial No. 60/239,478, filed October 11, 2000, and U.S. Provisional Application  
Serial No. 60/241,939 filed October 20, 2000. The entire teachings of these  
applications are incorporated herein by reference.

- 10 GOVERNMENT SUPPORT: none